

BarnOwl V11

FULLY INTEGRATED RISK MANAGEMENT, COMPLIANCE AND AUDIT
SOFTWARE

BarnOwl V11 spotlight

25 May 2023

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Online via Teams, RSVP to cheryl@barnowl.co.za to receive
the link



BarnOwl V11 - Agenda

- BarnOwl V11 introduction
- Why upgrade to BarnOwl version 11?
- The BarnOwl version 11 roadmap
- What does BarnOwl v11.1 mean for me?
- Features of BarnOwl v11.1
- BarnOwl Power BI and SSRS reporting
- Demo of BarnOwl v11.1
- In summary, upgrading to BarnOwl v11
- How do I upgrade to BarnOwl v11.1?
- Conclusion

BarnOwl V11 introduction

- Version 11 offers our clients a fresh, modern and simplified web-based user interface utilising the latest web-based technology
- Version 11, will over time, incorporate much of BarnOwl's extensive desktop app 'rich' functionality including built-in reporting
- Currently V11.1 (released Feb 2023) replaces the existing BarnOwl Lite interface with a new modern interface
- By early 2024, Version 11.4 will incorporate much of BarnOwl's extensive desktop app ('rich') functionality and become the default user interface for risk management, whilst the desktop app ('rich') will be used mainly by administrators.

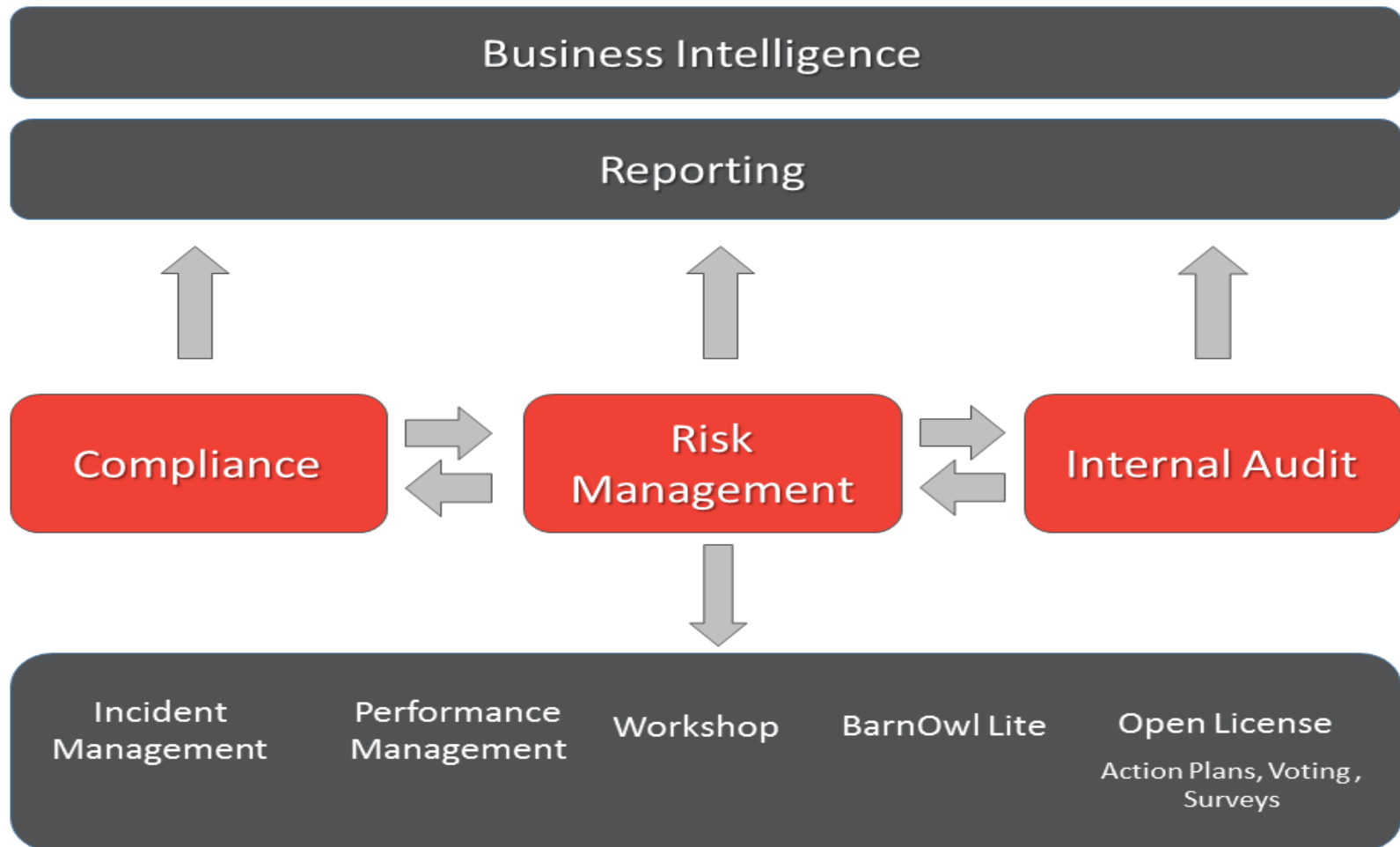
Why upgrade to BarnOwl V11

- Upgrade BarnOwl to the latest web-based technology
- Modern, clean-cut user interface
- Simplified navigation
- Standardised look & feel across all registers and forms (pages)
- Risk on a page simplifies risk taxonomy
- Performance enhancements
- Functional improvements
- Minor bug fixes.

BarnOwl V11 Roadmap

- V11.1 (BarnOwl Web risk management) (February 2023)
- V11.2 (BarnOwl Web voting (RCSAs, Surveys, Questionnaires)) (mid 2023)
- V11.3 (BarnOwl Web reporting) (end 2023)
- V11.4 (Internet facing Votes, Surveys & Questionnaires) (early 2024)
- Administrative tasks will remain in the desktop app
- Standard built-in reports will remain in the desktop app
- Standard Power BI and SSRS reporting off the BarnOwl native database (included at no additional cost on request)
- Advanced Power BI and SSRS reporting off the BarnOwl data warehouse (additional purchased license)

Recap - BarnOwl integrated modules



What does BarnOwl V11.1 mean for me?

- V11.1 essentially replaces the existing web-based BarnOwl Lite interface with a new modern interface utilising the latest web-based technology.
- No changes have been made to the BarnOwl Rich desktop app. BarnOwl Rich will still work exactly the same way.
- A web-based Lite license is included with every Rich license. Therefore a Rich user has the option of using the web-based interface or the desktop Rich interface or both interfaces for certain functions (e.g. reports in Rich versus simplified capture / maintenance of risks in Lite).
- The advantage of the new V11.1 web-based interface is that it is:
 - easy to navigate
 - easy to capture and maintain all risk related data (risk on a page)
 - performs well (i.e. is fast) over a slow network such as a VPN
 - V11.3 (scheduled late 2023) will include built-in reports
- Version 11.1 incorporates bug fixes / minor enhancements so it is advisable to upgrade to V11.1.
- The Rich desktop app includes:
 - built-in reporting
 - drill-down registers whereby you can expand a register (e.g. the risk register) to see detailed child registers (contributing factors, controls, KIs, action plans etc.). However this is also what makes BarnOwl Rich appear complex
 - administrative functions such as applying of processes, risk templates, votes etc.

Features of BarnOwl V11.1

- Landing page giving the user a heads up of work items (Action plans, Votes, Key Indicators, RAPs) (v10)
- New look & feel organisational structure (option in SMC to include processes)
- Registers (Clean look & feel with column filtering, field selection, sorting, drag & drop columns, grouping, favourite views, global search (across multiple fields))
 - Objective Register
 - Risk Register (focal point)
 - My Key Indicators
 - My Action plans
 - Incident registers
 - My Voting
- Register menus:
 - Actions (Link record, Unlink record)
 - This View (Clear filters, Wrap all, Unwrap all, Reset, Export to Excel, Export to PDF, Add to My BarnOwl)
 - Favourite View (New, Update, Edit, Delete, manage, Select)

Features of BarnOwl V11.1 (continued)

- Link / Unlink:
 - Easy to search library and link an existing record to a unit or create a new library record
 - Easy to unlink a record from a unit
 - Easy to maintain library records (new, merge, delete with administrator permissions) (v10)
- On loading a form / page:
 - Logical menu options: Action (Save&Close, Save, Unlink from Unit), View (History, Model, Linked)
 - Logical tabs: Library record, Linked objects, Unit detail, Ratings, Owners, Custom fields
- Risk Structure:
 - Risk on a page with easy navigation to all associated objects (objectives, controls, contributing factors, action plans, key indicators, incidents, parent risks, child risks, votes, audit projects)
 - Context sensitive menus (including collapse all / expand all)

Reporting #1 - Standard Power BI Dashboards (directly off the BarnOwl database)

- BarnOwl now provides standard Risk, Compliance and Audit Power BI dashboards at no additional cost
- The user needs to have downloaded Microsoft Power BI desktop (free version) in order to view the BarnOwl dashboards. In some cases, your IT department will have a Power BI server which has further advantages
- Whilst we (BarnOwl) will show you the basics of how to use the BarnOwl Power BI dashboards, BarnOwl does not provide or offer training on Power BI. (in the same way that we do not offer Excel training)
- The Client is welcome to request customisations to the standard BarnOwl Power BI dashboards on a quotation or Platinum services basis
- The Client is welcome to make their own changes to the Power BI dashboards. Note: Always work on a copy of the original. BarnOwl does not support client modified dashboards
- BarnOwl's standard Power BI dashboards run directly off the BarnOwl database (as opposed to the BarnOwl warehouse). With a simple 'refresh' they pull in the latest data from the BarnOwl database
- Currently, BarnOwl permissions are not built into the standard Power BI dashboards, so it is advisable for a Client administrator to filter and distribute the relevant dashboards to the intended audience.

Reporting #2 - Advanced Power BI dashboards (off the BarnOwl data warehouse)

- The BarnOwl data warehouse provides more advanced Power BI dashboards. The BarnOwl data warehouse has a license cost associated with it
- It is easier for the Client (IT department normally) to create their own dashboards off the data warehouse compared with the native BarnOwl database
- The BarnOwl data warehouse is typically refreshed after hours by a batch job (service), so changes to the data are not immediately reflected in the warehouse Power BI dashboards.

Reporting #3 - SSRS (SQL Server Reporting Services) (directly off the BarnOwl database)

- SSRS reports are tabular in nature as opposed to the graphical drill-down interactive Power BI dashboards
- BarnOwl now provides a few standard SSRS reports at no additional cost
- SSRS is included as part of Microsoft SQL server integration services at no additional cost; however integration services does require some configuration by the Client IT department
- The Client is welcome to request customisations to the standard BarnOwl SSRS reports on a quotation or Platinum services basis
- BarnOwl's SSRS reports run directly off the BarnOwl database (as opposed to the BarnOwl warehouse). They are always 'live' with no need to refresh data
- Currently, BarnOwl permissions are not built into the standard SSRS reports, so it is advisable for a Client administrator to filter and distribute the relevant SSRS reports to the intended audience.

In summary, upgrading to BarnOwl V11.1

- replaces the existing BarnOwl Lite interface with BarnOwl's new web interface which is modern, user friendly and performs well over slow networks such as VPN
- addresses minor bug fixes / enhancements
- has no effect on how you currently use the BarnOwl Rich desktop app (i.e. no changes have been made to the Rich desktop app)
- will enable users to transition to the new BarnOwl web app in preference to the BarnOwl Rich desktop especially when V11.3 is released (scheduled for late 2023) with built-in reporting. Administrative tasks will however remain in BarnOwl Rich
- includes standard Power BI and SSRS reports on request (generated directly from the BarnOwl database)
- NB: Advanced Power BI and SSRS reporting generated off the BarnOwl data warehouse requires an additional purchased license.

Old look & feel BarnOwl Lite risk register

← → ↻ Not secure | jcrisp-pc/BarnOwlEclipse/Risk/RisksByUnit?Nav=MyBarnOwl

BARNOWL My BarnOwl / Risks by Unit Manager, IA

System **My BarnOwl**

Manage My BarnOwl

- Objectives by Unit
- Risks by Unit
- My Action Plans
- My Votes
- Contract Management by Unit
- Forensic Incident by Unit
- Findings by Unit

Org. Structure

by Reference

- Root
 - ABC Corporation
 - Bloemfontein
 - Cape Town
 - Durban
 - East London
 - Johannesburg
 - Assets
 - Finance
 - Inventory
 - IT
 - JHB Compliance
 - Jhb HR
 - Materials Manage
 - Procurement
 - Projects
 - ABC2
 - ABC3
 - DBSA
 - DN
 - FIC
 - GPT
 - ICT
 - OAG

Drag a column header and drop it here to group by that column

	Current Task	Risk Title	Reference	II	IL	IR	RI	RL	RR	Risk Category	Risk Subcategory	Capture New
View	!	Headhunting by competitors		4.00	4.00	16.00	3.50	2.00	7.00	Employee	Recruitment & Retention	< Add New >
View	!	Lack of ability to attract and retain new talent		5.00	5.00	25.00	5.00	3.00	15.00	Employee	Recruitment & Retention	< Add New >

	Current Task	Control Title	Reference	Control Adequacy	Control Effectiveness	Frequency	Capture New
View		Career planning		Adequate	Ineffective	Default	< Add New >
View		Competitive remuneration		Adequate	Partially Effective	Default	< Add New >
View		On the job mentoring		Adequate	Partially Effective	Default	< Add New >

	Current Task	Contributing Factor Title	Reference	Priority	Control Strategy	Category	Subcategory	Capture New
View		No appointments due to Covid restrictions		3.00 - Major - High impact on risk	Accept	Business Risk	Stakeholder Risk	< Add New >
View		Shortage of skills		3.00 - Major - High impact on risk	Manage	People	External	< Add New >

	Current Task	Action Plan Title	Start Date	Due Date	Status	Percentage Complete
View		02. Governance: POPIA Readiness	01 Sep 2020	30 Sep 2020	Half Way	50
View		Please put a control in place ASAP	02 Apr 2015	25 Jun 2015		100

	Loss Event Title	Amount	Business Interruption Amount	Material Damage Amount	Loss Event Subcategory	Loss Event Category	Occurrence Date	Capture New
View	Loss of key staff	230000.00 ZAR	0.00 ZAR	0.00 ZAR	Labour	People	14 Nov 2018	< Add New >

	Consequence Title	Amount	Business Interruption Amount	Material Damage Amount	Consequence Category	Consequence Subcategory	Capture New
View	Poor service delivery	0.00 ZAR	0.00 ZAR	0.00 ZAR	People	Labour	< Add New >

	Incident Type	Incident Title	Amount	Incident Subcategory	Incident Category	Occurrence Date	Capture New
View	Opportunity	Digitisation	0.00	IT	Systems	11 Aug 2022	< Add New >

	Current Task	Key Indicator Title	Linked Item Type	Linked Item Title	Category	Subcategory	Frequency	Current Value	Capture New
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V11 new look & feel risk register

Risks by Unit

Not secure | bocs-css/BarnOwlEclipse/Risk/FlatRisk?Nav=MyBarnOwl#

Manager, IA

System: My BarnOwl

Unit Structure

Search

Show Available Show All Show Selected

- Root
 - ABC Corporation
 - Bloemfontein
 - Projects
 - Hospital xyz
 - Bank
 - Bloem HR
 - Compliance
 - Finance
 - Inventory
 - Cape Town
 - Assets
 - Compliance
 - CT HR
 - Finance
 - Inventory
 - Materials Management
 - Procurement
 - Durban
 - Assets
 - Compliance
 - Dbn HR
 - Finance
 - Inventory
 - Materials Management

40 Units and 0 Processes selected

Actions: This view: Favourite view

New... Update Edit... Delete... Manage... Select

Search visible text fields...

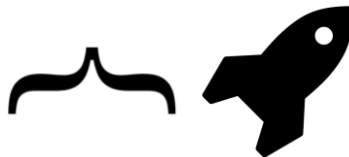
Unit\Process Path

		Risk Title ↑	II	IL	IR	RI	RL	RR
Unit\Process Path: ABC Corporation								
<input type="checkbox"/>	🔗	01. Regulatory risk	5.00	4.00	20.00	4.00	4.00	16.00
<input type="checkbox"/>	🔗	! 02. Exchange Rate	4.00	4.00	16.00	4.00	4.00	16.00
<input type="checkbox"/>	🔗	! 03. Skills Shortage	5.00	5.00	25.00	5.00	3.00	15.00
<input type="checkbox"/>	🔗	04. Lack of Infrastructure	4.00	4.00	16.00	4.00	3.00	12.00
<input type="checkbox"/>	🔗	05. Political Unrest / Strike Action	5.00	4.00	20.00	5.00	4.00	20.00
<input type="checkbox"/>	🔗	06. Disaster Recovery	4.00	3.00	12.00	4.00	2.00	8.00
<input type="checkbox"/>	🔗	! 07. Interest Rates / Bad Debts	4.00	5.00	20.00	4.00	4.00	16.00
<input type="checkbox"/>	🔗	! 08. Infectious Diseases	4.00	3.00	12.00	4.00	2.00	8.00
<input type="checkbox"/>	🔗	09. Operations	5.00	4.00	20.00	5.00	2.00	10.00
Unit\Process Path: ABC Corporation\Bloemfontein								
<input type="checkbox"/>	🔗	01. Education and skills development	4.00	3.00	12.00	4.00	1.00	4.00
Unit\Process Path: ABC Corporation\Bloemfontein\Bank								
<input type="checkbox"/>	🔗	! Bank Recon not performed	4.00	4.00	16.00	4.00	1.00	4.00
Unit\Process Path: ABC Corporation\Bloemfontein\Bloem HR								

1 - 50 of 602 items

bocs-css/BarnOwlEclipse/Risk/FlatRisk?Nav=MyBarnOwl# | Owl Software Solutions © 2023 | 11.1.1 | idijcrisp | BOCS-CSS/SQL2016 | Testing_BarnOwl_Evolution_ABC

Latest web-based Technology



Landing page (v10)

← → ↻ Not secure | bocs-css/BarnOwlEclipse/Home/Main#

BARNOWL Home Manager, IA

System My BarnOwl

▲ Risk Management >

% Key Indicators >

☰ Action Plans >

! Incidents >

? Voting >

🔧 DIY >

Welcome Manager, IA

Here are some items that may need your attention.

My Action Plans

Title	Due By ↓	Days Remaining	Status	% Comp
Training - Tuesday, 24 January 2023	26/05/2023	122	Not Started	
Training - Monday, 23 January 2023	25/05/2023	121	Not Started	
Training - Sunday, 22 January 2023	25/05/2023	121	Not Started	
Training - Friday, 20 January 2023	22/05/2023	118	Not Started	
Training - Saturday, 21 January 2023	22/05/2023	118	Not Started	
Training - Thursday, 19 January 2023	22/05/2023	118	Not Started	

Total: 290

My Votes

Title	Due By	Days Remaining	Type
Distribution Monthly checklist Management - Tuesday, 01 November 2022	21/03/2023	56	Survey
Distribution Monthly checklist Management - Monday, 03 October 2022 12:14:07 AM	20/02/2023	27	Survey
Distribution Monthly checklist Management - Thursday, 01 ...	20/04/2023	86	Survey

Total: 34

My Key Indicators

Title	Key Risk Indicator Frequency	Linked Item Title
Training Interventions	Weekly	Lack of appropriate trainin
T2 R1 KI1	Monthly	T2 BU1 R1
Statement dates by 1st of the month	Weekly	01. Inability to trace or con customers due to incorrect missing information recor system.

My Risk Assessment Project Plans

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11.0.7 | idjcrisp | BOCS-CSS/SQL2016 | Testing_BarnOwl_Evolution_ABC

Unit Objective Register

System My BarnOwl

Objective Structure

Search Objective Structure

Unit ABC Corporation

- Objective Effective and profitable ... :
 - Risks :
 - Key Indicators :
 - Workshops :

Unit Objective Form: Effective and profitable operations on Unit: ABC Corporation

Actions View

Save and Close Unlink from Unit...

Objective Library Unit Objective Links Detail Ratings Owners Default

Objective Library (Read-Only)

[Open Objective Library Record...](#)

Title

Effective and profitable operations

Reference

Description

Unit Risk Register

Not secure | bocs-css/BarnOwlEclipse/Risk/FlatRisk?Nav=MyBarnOwl#

BARNOWL My BarnOwl / Risks by Unit

Unit Structure

Search

Show Available Show All Show Selected

- Root
 - ABC Corporation
 - Select All Units
 - Deselect All
 - Expand All
 - Collapse All
 - Bloem HR
 - Compliance
 - Finance
 - Inventory
 - Cape Town
 - Assets
 - Compliance
 - CT HR
 - Finance
 - Inventory
 - Materials Management
 - Procurement
 - Durban
 - Assets
 - Compliance
 - Dbn HR
 - Finance
 - Inventory

41 Units and 0 Processes selected

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Actions This view Favourite view

New... Update Edit... Delete... Manage... Select

Search visible text fields...

Unit\Process Path

Risk Title

Unit\Process Path: ABC Corporation

	Reference	II								
<input type="checkbox"/>	01. Regulatory risk									
<input type="checkbox"/>	02. Exchange Rate	!								
<input type="checkbox"/>	03. Skills Shortage	!								
<input type="checkbox"/>	04. Lack of Infrastructure									
<input type="checkbox"/>	05. Political Unrest / Strike Action									
<input type="checkbox"/>	06. Disaster Recovery									
<input type="checkbox"/>	07. Interest Rates / Bad Debts	!								
<input type="checkbox"/>	08. Infectious Diseases	!								
<input type="checkbox"/>	Operations									

Unit\Process Path: ABC Corporation\Bloemfontein\Bank

	Reference	II								
<input type="checkbox"/>	01. Education and skills development									

Unit\Process Path: ABC Corporation\Bloemfontein\Bank

	Reference	II								
<input type="checkbox"/>	Bank Recon not performed	!								

Unit\Process Path: ABC Corporation\Bloemfontein\Bloem HR

RL	RR	Risk Ca...	Risk Su...
4.00	16.00	Strategic	Strategic
4.00	16.00	Strategic	Strategic
3.00	15.00	Strategic	Strategic
3.00	12.00	Strategic	Strategic
4.00	20.00	Strategic	Strategic
2.00	8.00	Strategic	Strategic
4.00	16.00	Strategic	Strategic
2.00	8.00	Strategic	Strategic
2.00	10.00	Strategic	Strategic
1.00	4.00	Strategic	NDP
4.00	4.00	16.00	4.00
1.00	4.00	Dispatch review	Dispatch review

1 - 50 of 608 items

11.0.7 | idijcrisp | Bocs-CSS\SQL2016 | Testing_BarnOwl_Evolution_ABC

Unit Risk Form

System My BarnOwl

Risk Structure

Search Risk Structure

Unit: Jono Test2 BU1

- ▲ Risk T2 BU1 R1 :
 - ▲ Objectives :
 - ☑ T2 BU1 Obj1 :
 - ▲ Contributing Factors :
 - ☑ T2 R1 CF1 :
 - ▲ Controls :
 - ☑ T2 R1 CF1 C1 :
 - ▲ Key Indicators :
 - ☑ T2 R1 Cf1 C1 KI1 :
 - ▲ Action Plans :
 - ☑ T2 R1 CF1 C1 AP1 :
 - ▲ Combined Assurances :
 - ☑ Deloitte :
 - ☑ Internal Audit :
 - ☑ Management :
 - ▲ Controls :
 - ☑ T2 BU1 R1 C1 :
 - ▲ Combined Assurances :
 - ☑ Deloitte :
 - ☑ Exco :
 - ☑ Internal Audit :
 - ☑ T2 BU1 R1 C2 :
 - ▲ Key Indicators :
 - ☑ T2 R1 KI1 :
 - ▲ Action Plans :
 - ☑ T2 R1 KI1 AP1 :

Unit Risk Form: T2 BU1 R1 on Unit: Jono Test2 BU1

Actions View

History... Model Impact Value Matrix

Linked

Risk Library

Risk Unit Links

Details

Privacy

Owners

Model

Other

Open Risk Library Record...

Risk Library (Read-Only)

Title

T2 BU1 R1

Reference

Description

Category

01. Revenue Growth

Sub Category

RG03 Inability to penetrate emerging

Objectives...

Contributing Factors...

Controls...

Key Indicators...

Action Plans...

Risk Experience...

Loss Event...

Consequence...

Forensic Incident...

Findings...

Contract Management...

Resolution...

Policy...

Exceptions...

IDP...

Opportunity...

Parent Risks...

Child Risks...

Votes...

Workshops...

RAPs...

Organisational structure showing units and processes

My BarnOwl / Risks by Unit

Manager, IA

- System My BarnOwl
- Manage My BarnOwl
- Objectives by Unit
- Risks by Unit
- My Action Plans
- My Votes
- My Key Indicators
- Contract Management by Unit
- Forensic Incident by Unit
- Findings by Unit

Unit Structure

Search

Show Available Show All Show Selected

- Root
- ABC Corporation
 - Test
 - Bloemfontein
 - Cape Town
 - Assets**
 - Fixed Assets
 - 01. Acquisition of FA
 - 02. Disposal of FA
 - 03. Transfer of FA
 - 04. Depreciation
 - 05. Physical Inventory
 - Compliance
 - CT HR
 - HR
 - 01. Recruitment & Reten
 - 02. Training
 - 03. Compliance
 - 04. Exit
 - Finance
 - Bank
 - Credit notes review
 - Debtors review (Credit App)
 - Dispatch review

1 Unit and 6 Processes selected

Actions This view Favourite view

Link Library Risks to Unit... Unlink Selected Risks from Unit.....

Search visible text fields...

Unit\Process Path

		Risk Title	II	IL	IR	RI	RL	RR
Unit\Process Path: ABC Corporation\Cape Town\Assets\Fixed Assets\01. Acquisition of FA								
<input type="checkbox"/>	🔗	Assets are not categorised correctly	0.00	0.00	0.00	0.00	0.00	0.00
<input type="checkbox"/>	🔗	! Assets may be misappropriated for personal use or sale	4.00	4.00	16.00	4.00	4.00	16.00
<input type="checkbox"/>	🔗	! Assets may be misappropriated for personal use or sale	4.00	4.00	16.00	4.00	4.00	16.00
Unit\Process Path: ABC Corporation\Cape Town\Assets\Fixed Assets\02. Disposal of FA								
<input type="checkbox"/>	🔗	! Assets may be misappropriated for personal use or sale	4.00	4.00	16.00	4.00	4.00	16.00
<input type="checkbox"/>	🔗	! Assets may be misappropriated for personal use or sale	4.00	4.00	16.00	4.00	4.00	16.00
<input type="checkbox"/>	🔗	Disposal of Assets	0.00	0.00	0.00	0.00	0.00	0.00

1 - 6 of 6 items

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My Key Indicators

System My BarnOwl

This view Favourite view

Clear Filters Wrap All Unwrap All Reset | Export to Excel Export to PDF Add to My BarnOwl...

Search visible text fields...

Drag a column header and drop it here to group by that column.

	Title	Measure Name	Linked Item Title	Unit Title	Parent Unit Title
Update	Statement dates by 1st of the month	Yes / No	01. Inability to trace or contact customers due to incorrect and missing information recorded into the system.	Finance	Johannesburg
Update	Sales(2)	% of budget	Effective and profitable operations	Johannesburg	ABC Corporation
Update	Training Interventions	Number of Training Interventions	Lack of appropriate training	Jhb HR	Johannesburg
Update	T2 R1 KI1	% Sales target	T2 BU1 R1	Jono Test2 BU1	Jono Test2
Update	Staff Loss (monthly)	% Staff loss	Lack of ability to attract and retain new talent	Jhb HR	Johannesburg

KI Link Form: Staff Loss (monthly) on Unit: Jhb HR Risk: Lack of ability to attract and retain new talent

Actions View

Save and Close Unlink from Risk...

Key Indicator Library Unit Risk KI Links Detail Target Setup **Input Values** Owners Default

Input Values

	M. Start Date	M. End Date	Target	Calendar Year	Input Value	Description	Total Count	Total Value	Exception Count	Exception Value
	08/12/2022	01/01/2023	December	2022	20%		0	0	0	0
	01/10/2022	01/11/2022	October	2022	15%		0	0	0	0
	01/09/2022	16/09/2022	September	2022	13%		10000	100000	10	5000
	05/04/2022	06/04/2022	March	2022	3%		0	0	0	0
	05/04/2022	06/04/2022	February	2022	1%		0	0	0	0
	05/04/2022	06/04/2022	January	2022	10%		0	0	0	0

1 - 11 of 11 items

My Action Plans

This view Favourite view
Clear Filters Wrap All Unwrap All Reset | Export to Excel Export to PDF Add to My BarnOwl...

Search visible text fields...
Drag a column header and drop it here to group by that column.

	Unit Title	Action Plan Title	Start Date	End Da...	Percentage Complete	Linked To Title	Linked To Type	Originato
	Finance	A. Credit notes are authorized as per the organisation's limit of authority.	15/03/2019	24/04/2019	75.00	A. Credit notes are authorized as per the organisation's limit of authority.	Finding	IA Manag

Action Plan Form: A. Credit notes are authorized as per the organisation's limit of authority.

Actions View
Save and Close Delete... Extension Request... Send Mail...

Detail Action Plan Links Owners Recurrence Escalation Email Defaults Custom

Action Plan Detail

Title
A. Credit notes are authorized as per the organisation's limit of authority.

Reference
Credit Note review 201903

Description

Documents (1)

Current Status Summary

Status
Final Stages

Percentage Complete
75.00

Start Date
15/03/2019

End Date
24/04/2019

Progress Notes

Incidents

System My BarnOwl

- Risk Management
- Key Indicators
- Action Plans
- Incidents
- Risk Experiences by Unit
- Loss Events by Unit
- Consequences by Unit
- Forensic Incidents by Unit
- Findingses by Unit
- Contract Managements by Unit
- Resolutions by Unit
- Policies by Unit
- Exceptionuses by Unit
- IDPs by Unit
- Opportunities by Unit
- Voting

Unit Structure

Search

Show Available Show All Show Selected

- Root
- ABC Corporation
 - Test
 - Bloemfontein
 - Projects
 - Bank
 - Bloem HR
 - Compliance
 - Finance
 - Inventory
 - Cape Town
 - Assets
 - Compliance
 - CT HR
 - Finance
 - Inventory
 - Materials Management
 - Procurement
 - Durban
 - Assets
 - Compliance
 - Dbn HR
 - Finance
 - Inventory
 - Materials Management

42 Units and 0 Processes selected

Actions This view Favourite view

Link Forensic Incident to Unit... Unlink Selected Forensic Incident from Unit.....

Search visible text fields...

Unit\Process Path

Unit\Process Path	Title	Amount	Currency	Category	Subcategory
ABC Corporation\Bloemfontein	Credit limits inappropriately exceeded	0	ZAR	Fraud	Employee

Unit\Process Path: ABC Corporation\Bloemfontein

Forensic Incident Form: Credit limits inappropriately exceeded

Actions View

Save and Close Delete... COI Send Mail...

Detail Forensic Incident Links Owners Incident Detail

Forensic Incident Detail

Title: Credit limits inappropriately exceeded

Type: Forensic Incident

Description: Credit limits inappropriately exceeded

Status: In Progress - Planning

Occurrence Date: 29/08/2014 14:57

Originator: Manager, IA (manager@company.com)

Amount: 0.00

Category: Fraud

Sub Category: Employee

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11.0.7 | 14/11/2023 | 800CS-C501Q12016 | Testing, BarnOwl, Evolution, ABC

My Votes (v11.2 still to come)

The screenshot displays the BARNOWL Voting / My Votes interface. The left sidebar contains navigation options: System (My BarnOwl), Risk Management, Key Indicators, Action Plans, Incidents, Voting (My Votes), and DIY. The main area shows a table of voting items. The selected item is 'Distribution Monthly checklist Management - Monday, 02 January 2023', which is 'Not Submitted' and has 118 days remaining. Below the table, a detailed survey form is open for this item. The survey is titled 'Survey Vote - Distribution Monthly checklist Management - Monday, 02 January 2023' and is categorized as 'Information Management Checklist'. It contains 9 questions, each with an 'Abstain' dropdown, a 'Choose File' button, and a 'Create' link. The questions relate to information management and security practices.

Title	My Submission Status	Days Remaining	Status	Start Date	Enddate	Template Type	Reference	Reviewer End Date	Am
Distribution Monthly checklist Management - Monday, 02 January 2023	Not Submitted	118	Active	02/01/2023	22/05/2023	Survey	SBSA DMCM_1	22/05/2023	No

Reference	Questions	Answer	Supporting Evidence	Comments	Action Plan	Documents
01	01. To the best of your knowledge did you and your team ensure that all material non public information was inaccessible at all times? Including but not limited to ensuring that all hard copy documents were locked away and only you or your team had the key to access the documentation?	Abstain	Choose File	N...n		Create
02	02. To the best of your knowledge did you and your team adhere to the clean desk policy at all times?	Abstain	Choose File	N...n		Create
03	03. To the best of your knowledge did you and your team protect all material non public information at all times by the implementation of adequate restriction of logical access (systems, folders, drives and applications)?	Abstain	Choose File	N...n		Create
04	04. To the best of your knowledge Did you and your team always lock your computers, mobile devices, tablets when you or your team where away from your computers, mobile devices, and/or tablets?	Abstain	Choose File	N...n		Create
05	05. To the best of your knowledge id you and your team only disclose material non public information on a need to know basis and only to the applicable deal team members logged with and approved by Compliance?	Abstain	Choose File	N...n		Create
06	06. To the best of your knowledge did you and your team ensure that before you disclosed material non public information that the person to whom you or your team were disclosing the information to were already on the applicable deal team logged with and approved by Compliance?	Abstain	Choose File	N...n		Create
07	07. To the best of your knowledge did you and your team only conduct conversations which involved material non public information, in private (where necessary sound proof locations both telephonically and face to face)? Telephone calls or conversations on your desk would not qualify as private.	Abstain	Choose File	N...n		Create
08	08. To the best of your knowledge did you and your team only send material non public information in writing (including but not limited to emails, instant chat, fax, letters) to applicable deal team members logged with and approved by Compliance?	Abstain	Choose File	N...n		Create
09	09. To the best of your knowledge did you and your team ensure that at all times printing of material non public information was not left on printer and was not retained from se					

Library maintenance (v10) (v11.1 global search on visible columns)

BARNOWL Risk Management / Risks / Risk Library Q Manager, IA

System My BarnOwl **Actions** This view Favourite view

New... Merge Selected... Delete Selected...

Drag a column header and drop it here to group by that column.

Title ↑	Category	Subcategory	Reference	Description
01. Cyber Risk - Asset Management	Cyber Risk	01. Asset Management		
01. Education and skills development	Strategic	NDP		
01. Failure / shortfall of critical infrastructure	Regulatory Risk	OHASA		
01. Inability to trace or contact customers due to incorrect and missing information recorded into the system.	Debtors review (Credit Applications)	Debtors review (Credit Applications)		Inability to trace or contact customers due to incorrect and missing information recorded into the system.
01. Incomplete/inaccurate validation of claims	Credit notes review	Credit notes review	01	01. Incomplete/inaccurate validation of claims
01. Regulatory risk	Strategic	Strategic		
01.01 Inaccurate / invalid / duplicate claim payments due to non-adherence to Standard Operating Procedures / Policy documents.	Credit notes review	Credit notes review	01	01.1 Incomplete/inaccurate validation of claims: 01.01 Inaccurate / invalid / duplicate claim payments due to non-adherence to Standard Operating Procedures / Policy documents.
01.02 Fraudulent claims paid out as supporting documents received may not be properly assessed for authenticity	Credit notes review	Credit notes review	01	01. Incomplete/inaccurate validation of claims: 01.02 Fraudulent claims paid out as supporting documents received may not be properly assessed for authenticity
01.1 Not all stakeholders may be identified	Legal Services	Stakeholder Management		01. 1 Not all stakeholders may be identified

Total: 4721, Displaying: 4721

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Standard Power BI Risk dashboard



Risk Dashboard

Organisation Structure

Multiple selections

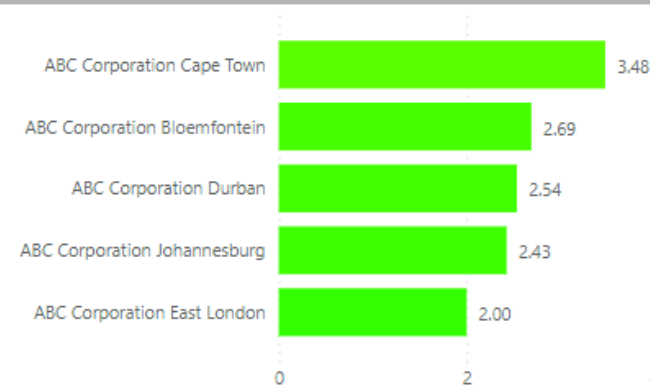
Risk Category

All

Risk S *** tegory

All

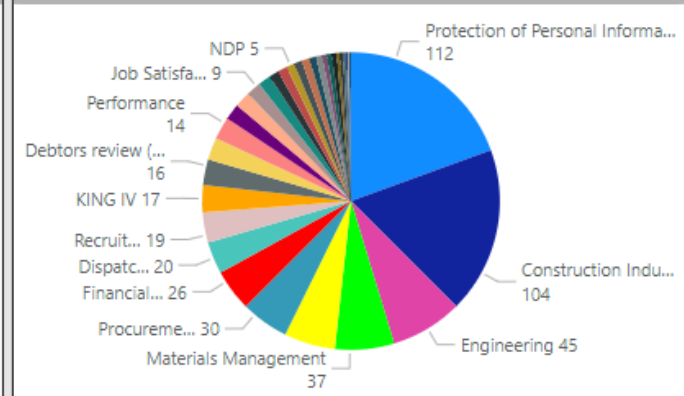
Average Residual Risk Rating per Unit



Average Residual Risk Rating by Risk Category



Count of Risks by Risk Subcategory



Risk Register

O-Rank	U-Rank	UnitPath	RiskTitle	RiskCategory	RiskSubcategory	II	IL	IR	RI	RL	RR
2	1	Root\ABC Corporation\Cape Town\Compliance	Noncompliance - 0015.Publication of codes of conduct	Regulatory Risk	Financial Advisory and Intermediary Services Act	5.00	5.00	25.00	5.00	4.00	20.00
3	1	Root\ABC Corporation\Johannesburg\Finance	Unacceptable level of bad debts due to poor credit control.	Debtors review (Credit Applications)	Debtors review (Credit Applications)	5.00	5.00	25.00	5.00	4.00	20.00
1	1	Root\ABC Corporation\Johannesburg\Jhb HR	Lack of core competencies	Employee	Competency & Training	5.00	5.00	25.00	5.00	4.00	20.00
7	1	Root\ABC Corporation\Bloemfontein\Finance	03. Accountability of goods not accepted by the customer.	Dispatch review	Dispatch review	4.00	5.00	20.00	4.00	4.00	16.00

- IR vs RR Comparison
- Risk Exposure Dashboard
- Risk Spider Diagram
- Top Risks View
- Control Dashboard
- Action Plan Dashboard
- Risk Heatmap
- Risk History

Standard SSRS Risk report

Home > ABC Reporting > Risk Register Report

Unit: RiskCategory: View Report

| Next

Risk Register Report

Unit Path	Objective Title	Risk Title	Risk Reference	Risk Category	Risk Subcategory	Contributing Factor Title	Consequence Title	II	IL	IR	Control Title	Control Effectiveness	RI	RL	RR	Action Plan Title
ABC Corporation\Johannesburg\Jhb HR	Training and Development	Lack of core competencies		Employee	Competency & Training			5	5	25	Certifications and licensing	Ineffective	5	4	20	Explore certications and licensing options availab
											Cross training	Partially Effective				Licensing
											Established performance measures	Effective				On the job mentoring programme required
											On the job mentoring	Partially Effective				
											Performance appraisal system	Partially Effective				
ABC Corporation\Johannesburg\Jhb HR	Attract and retain the right staff	Unplanned sick leave		Employee	Policies & Compliance			4	5	20			4	4	16	
ABC Corporation\Johannesburg\Jhb HR	Attract and retain the right staff	Lack of ability to attract and retain new talent		Employee	Recruitment & Retention	No appointments due to employee freeze	Digitisation	5	5	25	Bursary scheme	Partially Effective	5	3	15	02. Governance: POPIA Readiness
						Shortage of skills	Loss of key staff				Career planning	Partially Effective				Investigate bursary schen
							Poor service delivery				Competitive remuneration	Effective				On the job mentoring programme required
											Lobby department of education	Ineffective				Please lobby dept of education
										On the job mentoring	Partially Effective				Please put a control in pl	

How do I upgrade to V11?

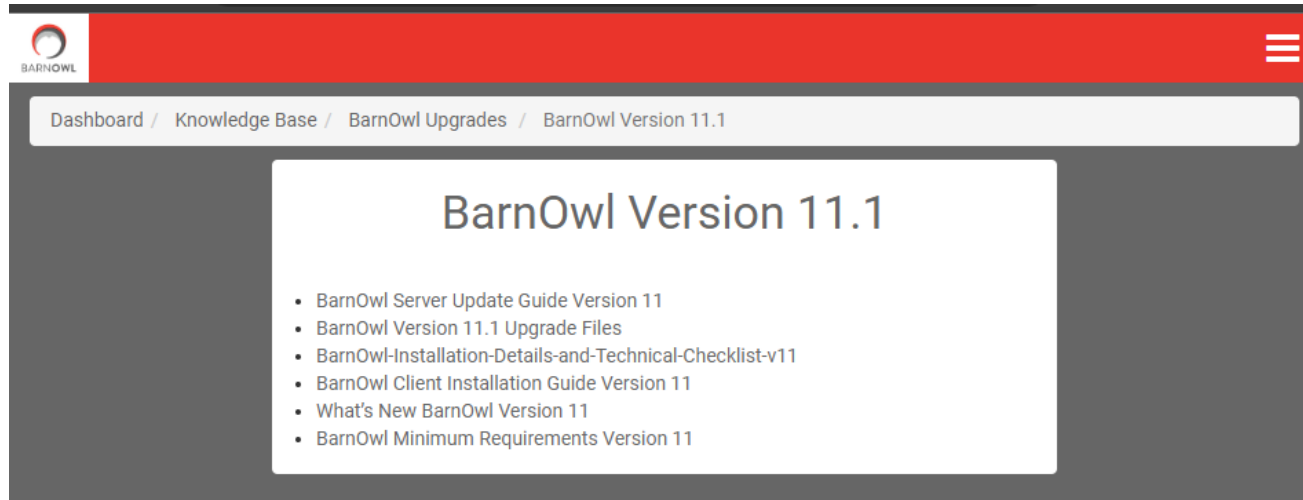
New versions of BarnOwl contain not only the latest new features, but also performance enhancements and fixes. <https://barnowl.co.za/knowledge-base/tip-of-the-month/frequently-asked-questions-about-upgrading-barnowl/>

The upgrade process is fully automated with a wizard-driven approach enabling Clients to upgrade themselves. This enables the Client to plan the timing of their Upgrade taking into consideration your IT approval processes, your change management process (i.e. communicating the impact of the new version to your users) and booking BarnOwl training where required:

- Step 1: Download the '[Whats New](#)' document to view what changes have been made to this version. The What's new document includes links to the relevant topic in BarnOwl's online help
- Step 2: Download [BarnOwl's minimum System Requirements](#) document and confirm with your IT, that your IT environment conforms to the technical requirements. For example .net framework 4.8 is required for version 11
- Step 3: Download the Guides from the '[Client Hub>Support Hub Login, Knowledge Base](#)' or request a copy from [BarnOwl Support](#).

How do I upgrade to V11 (Continued)

- Step 4: Ask your IT to download the Upgrade files and follow the Update Guides: [Client Hub>Support Hub Login](#), Knowledge Base:



- Make full **backups** of all the databases which will be upgraded. This includes Production and UAT/Training databases.
- Ideally a UAT environment should be upgraded, tested and signed off by the Client BarnOwl champion before the Production environment is upgraded.
- Warn your users that BarnOwl will not be available for the duration of the upgrade. It is important that users don't attempt to log in to BarnOwl while you are upgrading the version.

What do I do if I am unable to Upgrade BarnOwl myself?

If you are unable to upgrade yourself, you can request a quote from your account manager and / or from [BarnOwl Support](#). A quotation will be sent to you for the Technical Resource's time. Upgrades performed by BarnOwl require remote access. If remote access cannot be provided, an additional onsite fee will be charged. Please note that we will only schedule a date for the upgrade, once you have sent through the signed quote and / or Purchase Order and once you (or your IT) has completed the '[BarnOwl installation details and technical checklist](#)>Documents>BarnOwl Installation Details and Technical Checklist'. Thereafter, we will schedule a BarnOwl technical resource. Please expect a lead time of approximately two weeks.

New BarnOwl website: www.barnowl.co.za

The screenshot shows a web browser window displaying the BarnOwl Knowledge Base. The browser tabs include 'BarnOwl Spotlight Session - Barn...', 'BarnOwl Knowledge Base | BarnC...', and '+'. The address bar shows 'barnowl.co.za/barnowl-knowledge-base/'. The page header features the BarnOwl logo, navigation links for SOLUTIONS, RESOURCES, CLIENT HUB (highlighted in red), and ABOUT, along with a search icon and a CONTACT US button. A SUBSCRIBE button is also present. Below the header, the breadcrumb 'Home / BarnOwl Knowledge Base' is visible. The main content area is titled 'BARNOWL KNOWLEDGE BASE' and includes a search bar. A sidebar on the left lists 'FEATURED', 'DOCUMENTS', 'TIPS & SPOTLIGHTS', 'VIDEOS', and 'FAQ'S'. The main content area displays three featured articles: 'Tip of the Month – Frequent Questions about Upgrading' (dated 24 May 2023), 'BarnOwl GRC summary presentation 2023 v1' (dated 30 March 2023), and 'BarnOwl GRC and Arbutus summary presentation 2023 v1' (dated 30 March 2023). Each article has a 'Download +' link. The bottom of the screenshot shows the Windows taskbar with various application icons and the system tray displaying '22°C Sunny' and the date '24/05/2023'.

<https://barnowl.co.za/barnowl-knowledge-base/>



What's new document

Version	Release Date
11.1	9 February 2023
<i>Public Release of version 11</i>	

Version	Release Date
11.0.1 – 11.0.8	18 August 2022
<i>Various stability and performance enhancements</i>	

Version	Release Date
11	11 August 2022
All Applications .Net Version Upgraded to 4.8	
Web Site (BarnOwl Eclipse)	
<ul style="list-style-type: none"> • New Risks by Unit Register <ul style="list-style-type: none"> ○ Risk Custom Fields available in Register ○ New Unit and Process Selection View ○ New Link Library Risks to Unit View • New Risk Structure View <ul style="list-style-type: none"> ○ Perform quick actions to view linked items Forms, History, Linked AP/KI register ○ Quickly link/create items to the Risk ○ Easy navigation within the Risk Context ○ New View Linked Objectives Register ○ New View Linked Contributing Factors Register ○ New View Linked Controls Register ○ New View Linked Key Indicators Register 	<ul style="list-style-type: none"> • Standard and Global Register View • View Processes in Web • Organisational (Unit) Structure • Capturing a New Library Item • Capturing / linking a new register item
	<ul style="list-style-type: none"> • Viewing linked items in a register • Viewing Item History

<https://barnowl.co.za/wp-content/uploads/2023/05/Whats-New-in-BarnOwl-v11.pdf>

Conclusion

- Modern look & feel: easy and familiar to use
- Easy navigation: embed risk management
- Risk on a page: manage your risks in a simple and structured way
- Performance: great performance over WAN / VPN
- Enhanced functionality: custom field documents, action plan linked object, search visible fields etc.
- State of the art web-based technology: take advantage of leading edge technology

Thank You

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+27 11 540 9112 (help desk)

<https://barnowl.co.za/barnowl-knowledge-base/>



BARNOWL